

STATEMENT UNDER 37 CFR § 3.73(b)

Applicant/Patentee: Andrew McMichael, Adrian V.S. Hill, Sarah C. Gilbert, Jörg Schneider, Magdalena Plebanski, Tomas Hanke, Geoffrey L. Smith and Tom Blanchard

Application No./Patent No.: 10/686,943 Filed/Issue Date: October 16, 2003

For: Methods and Reagents for Vaccination Which Generate a CD8 T Cell Immune Response

Oxxon Therapeutics Limited, a Corporation
(Name of Assignee) Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is

- A. ☒ the assignee of the entire right, title and interest in the patent application identified above; or
- B. ☐ an assignee together with [] of the entire right, title and interest in the patent application identified above.

The right, title and interest of the above-named assignee in the patent application identified above is established by virtue of:

- A. ☐ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel [], Frame [], or a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s) of the patent application identified above, to the current assignee as shown below:

1. From: Andrew McMichael, Adrian V.S. Hill, Sarah C. Gilbert, Jörg Schneider, Magdalena Plebanski, Tomas Hanke, Geoffrey L. Smith and Tom Blanchard To: Oxxon Pharmaccines Limited
 The document was recorded in the United States Patent and Trademark Office at
 Reel 011022, Frame 0421-0430, or a copy thereof is attached.
2. From: Oxxon Pharmaccines Limited To: Oxxon Therapeutics Limited
 The document was recorded in the United States Patent and Trademark Office at
 Reel 017136, Frame 0667-0668, or a copy thereof is attached.
3. From: [] To: []
 The document was recorded in the United States Patent and Trademark Office at
 Reel [], Frame [], or a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature Peter Nolan

Name: Peter Nolan

Title: Executive Director - Sr. Vice President Commercial Development

Date: 1-14-10